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Date of Deposit: 06/22/2001

Ref: Case Docket No.: P3962

First Named Inventor: Srihari Kumar et al.

Serial Number: 09/826,613

Filing Date: 04/04/2001

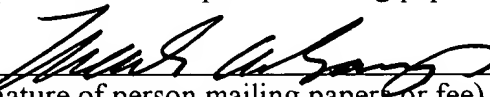
Title of Case: Interactive Financial Portfolio Tracking Interface

I hereby certify that the attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington D.C. 20231

1. Part 2 of the Notice to File Missing Parts.
2. Response to the Notice to File Missing Parts.
3. Signed Declaration and Power of Attorney.
4. Check for fees in the amount of \$65.00.
5. Certificate of Express Mailing.
6. Postcard Listing Contents.

Mark A. Boys

(Typed or printed name of person mailing paper or fee)


(Signature of person mailing papers or fee)



In The United States Patent and Trademark Office

Examiner: Unknown Art Unit: 2173

"Express Mail" Mailing Label Number: EL657628752US

In Re: Application of Srihari Kumar et al.

Docket: P3962

Serial No.: 09/826,613

Filed: 04/04/2001

For: Interactive Financial Portfolio Tracking Interface

To: The Commissioner of Patents and Trademarks

Dear Sir:

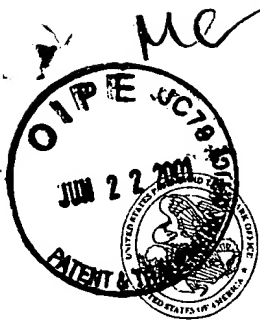
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

A notice to file missing parts of application was mailed in the above-referenced case on 05/18/2001, indicating that the signature on the Declaration and Power of Attorney is missing. Submitted herewith is Part 2 of the Notice to file missing parts, a signed Declaration and Power of Attorney and a check for fees in the amount of \$65.00 in compliance with 37 CFR 1.27. Applicant respectfully requests that the signed Declaration and Power of Attorney be matched with the above referenced case file.

Respectfully Submitted, Srihari Kumar et al.

By 
Donald R. Boys - Reg. No. 35,074

Donald R. Boys
Central Coast Patent Agency, Inc.
P.O. Box 187
Aromas, CA 95004



06-25-01

Section \$
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#3

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,613	04/04/2001	Srihari Kumar	P3962

CONFIRMATION NO. 4045

24739
CENTRAL COAST PATENT AGENCY
PO BOX 187
AROMAS, CA 95004

FORMALITIES LETTER



OC000000006091915

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*


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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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